IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	In re Application of			
AND]	ANDREW C. KESLING			
)		
For:	BONDABLE ORTHODONTIC)		
	APPLIANCE WITH A POLYMER)		
	RESIN BONDING BASE)		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, the following information is submitted for consideration by the Office in the above application.

Patents

Patent No.	<u>Patentee</u>	Date of Grant
3,964,165	L.W. Stahl	June 22, 1976
4,200,980	R.W. Johnston	May 6,1 980
5,098,288	P.C. Kesling	March 24, 1992
5,263,859	A.C. Kesling	November 23, 1993
5,271,733	K. Chikami et al.	December 21, 1993
5,890,892	M.S. Lemchen	April 6, 1999

Publication

TP Orthodontics, Inc. Product Catalog (1998), page 49.

Respectfully submitted,

October 29, 2003

Lloyd L. Zickert 79 West Monroe Street Chicago, IL 60603 (312) 236-1888 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	Substitute for form 1449A/PTO		Complete if Known		
			Application Number		
	INFORMATION I	DISCLOSURE	Filing Date		
	STATEMENT BY		First Named Inventor	Andrew C. Kesling	
		7.1. 2.07.11.	Art Unit	3732	
	(Use as many sheets	as necessary)	Examiner Name	M. Bumgarner	
She	et 1	of 2	Attorney Docket Number	815-1057.C	

		<u> </u>	U. S. PATENT (DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		us- 3,964,165	06-22-1976	Stahl	
		us- 4,200,980	05 - 06-1980	Johnston	
		us- 5,098,288	03-24-1992	Kesling	
		us- 5,263,859	11-23-1993	Kesling	
		us- 5,271,733	12-21-1993	Chikami et al.	
		us- 5,890,892	04-06-1999	Lemchen	W
		US-			
		US-			
		US-		***************************************	
· · · · · · · · · · · · · · · · · · ·		US-			
		US-			
	1	US-			
		US-			
		US-			····
	1	US-			
		US-			
	1	US-			
	1	US-		 	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
***************************************						T
***************	ļ				***************************************	
*************************	<u> </u>				***************************************	
***************************************	<u> </u>					T
			<u> </u>	***************************************	***************************************	

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Traistation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for	form 1449B/PTO				Complete if Known	
			•	Application Number		
INFO	RMATION DI	ISCLO	SURE	Filing Date		
STAT	EMENT BY	APPLI	CANT	First Named Inventor	Andrew C. Kesling	
				Art Unit	3732	
	(Use as many sheets as	s necessary)	Examiner Name	M. Bumgarner	
Sheet	2	of	2	Attorney Docket Number	815÷1057.C	
	INFOF	(Use as many sheets as	INFORMATION DISCLO STATEMENT BY APPLI	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Application Number Filing Date First Named Inventor Art Unit Examiner Name	INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date First Named Inventor Andrew C. Kesling Art Unit 3732 Examiner Name M. Bumgarner

NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,

magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city

and/or country where published.

TP Orthodontics, Inc. Product Catalog (1998), p. 49

Examiner

Initials*

Cite

No.

***************************************	······································	

******************************	*********	· · · · · · · · · · · · · · · · · · ·

***************************************	••••••	
Evaminer		Data
Examiner Signature		Date Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.